

Sample Written Authorization

SAMPLE FORMAT: Written Authorization**WRITTEN AUTHORIZATION TO PREPARE OR TRANSMIT ELECTRONIC EXPORT INFORMATION**

I, _____, authorize
 (Name of U.S. Principal Party in Interest)
 _____ to act as authorized agent for
 (Name of Authorized Agent)

export control, U.S. Customs, and Census Bureau purposes to transmit such export information electronically that may be required by law or regulation in connection with the exportation or transportation of any goods on behalf of said U.S. Principal Party in Interest. The U.S. Principal Party in Interest certifies that necessary and proper documentation to accurately transmit the information electronically is and will be provided to the said Authorized Agent. The U.S. Principal Party in Interest further understands that civil and criminal penalties may be imposed for making false or fraudulent statements or for the violation of any U.S. laws or regulations on exportation and agrees to be bound by all statements of said authorized agent based upon information or documentation provided by the U.S. Principal Party in Interest to said authorized agent.

Signature: _____
 (U.S. Principal Party in Interest)

Capacity: _____

Date: _____

APPENDIX B TO PART 30—AES FILING CODES

PART I—METHOD OF TRANSPORTATION CODES

- 10 Vessel
- 11 Vessel Containerized
- 12 Vessel (Barge)
- 20 Rail
- 21 Rail Containerized
- 30 Truck
- 31 Truck Containerized
- 32 Auto
- 33 Pedestrian
- 34 Road, Other
- 40 Air
- 41 Air Containerized
- 50 Mail
- 60 Passenger, Hand Carried
- 70 Fixed Transport (Pipeline and Powerhouse)

PART II—EXPORT INFORMATION CODES

- TP Temporary exports of domestic merchandise
- IP Shipments of merchandise imported under a Temporary Import Bond for further manufacturing or processing

- IR Shipments of merchandise imported under a Temporary Import Bond for repair
- CH Shipments of goods donated for charity
- FS Foreign Military Sales
- OS All other exports
- HV Shipments of personally owned vehicles
- HH Household and personal effects
- TE Temporary exports to be returned to the United States
- TL Merchandise leased for less than a year
- IS Shipments of merchandise imported under a Temporary Import Bond for return in the same condition
- CR Shipments moving under a carnet
- GP U.S. Government shipments
- MS Shipments consigned to the U.S. Armed Forces
- GS Shipments to U.S. Government agencies for their use
- UG Gift parcels under Bureau of Industry and Security License Exception GFT
- DD Other exemptions:
 - Currency
 - Airline tickets
 - Bank notes
 - Internal revenue stamps
 - State liquor stamps
 - Advertising literature

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Shipments of temporary imports by foreign entities for their use
RJ Inadmissible merchandise
(For Manifest Use Only by AES Carriers)
AE Shipment information filed through AES
(See §§30.50 through 30.58 for information on filing exemptions.)

PART III—LICENSE CODES

**DEPARTMENT OF COMMERCE, BUREAU OF
INDUSTRY AND SECURITY (BIS), LICENSES**

- C30 Licenses issued by BIS authorizing an export, reexport, or other regulated activity.
- C31 SCL—Special Comprehensive License
- C32 NLR—No License Required (controlled for other than or in addition to Anti-Terrorism)
- C33 NLR—No License Required (All others, including Anti-Terrorism controls ONLY)
- C35 LVS—Limited Value Shipments
- C36 GBS—Shipments to B Countries
- C37 CIV—Civil End Users
- C38 TSR—Restricted Technology and Software
- C40 TMP—Temporary Imports, Exports, and Re-exports
- C41 RPL—Servicing and Replacement of Parts and Equipment
- C42 GOV—Government and International Organizations
- C43 GFT—Gift Parcels and Humanitarian Donations
- C44 TSU—Technology and Software—Unrestricted
- C45 BAG—Baggage
- C46 AVS—Aircraft and Vessels (AES not required)
- C47 APR—Additional Permissive Re-exports
- C48 KMI—Key Management Infrastructure
- C49 TAPS—Trans-Alaska Pipeline Authorization Act
- C50 ENC—Encryption Commodities and Software
- C51 AGR—License Exception Agricultural Commodities
- C53 APP—Adjusted Peak Performance (Computers)
- C54 SS-WRC—Western Red Cedar
- C55 SS-Sample—Crude Oil Samples
- C56 SS-SPR—Strategic Petroleum Reserves
- C57 VEU—Validated End User Authorization

**NUCLEAR REGULATORY COMMISSION (NRC)
CODES**

- N01 NRC Form 250/250A—NRC Form 250/250A
- N02 NRC General License—NRC ‘General’ Export License

**DEPARTMENT OF STATE, DIRECTORATE OF
DEFENSE TRADE CONTROLS (DDTC) CODES**

- SAG—Agreements
- SCA—Canadian ITAR Exemption

15 CFR Subtitle B, Ch. I (1–14 Edition)

- S00—License Exemption Citation
- S05—DSP-5—Permanent export of unclassified defense articles and services
- S61—DSP-61—Temporary import of unclassified articles
- S73—DSP-73—Temporary export of unclassified articles
- S85—DSP-85—Temporary or permanent import or export of classified articles
- S94—DSP-94—Foreign Military Sales

**DEPARTMENT OF TREASURY, OFFICE OF
FOREIGN ASSETS CONTROL (OFAC) CODES**

- T10—OFAC Specific License
- T11—OFAC General License
- T12—Kimberley Process Certificate Number

OTHER LICENSE TYPES

- OPA—Other Partnership Agency License

For export license exemptions under International Traffic in Arms Regulations, refer to 22 CFR 120–130 of the ITAR for the list of export license exemptions.

PART IV—IN-BOND CODES

- 70 Not In Bond
- 36 Warehouse Withdrawal for Immediate Exportation
- 37 Warehouse Withdrawal for Transportation and Exportation
- 67 Immediate Exportation from a Foreign Trade Zone
- 68 Transportation and Exportation from a Foreign Trade Zone

EFFECTIVE DATE NOTE: At 78 FR 16383, Mar. 14, 2013, Appendix B to Part 30 was amended by revising parts II and III, effective Jan. 8, 2014. At 78 FR 67928, Nov. 13, 2013, the effective date was delayed until Apr. 5, 2014. For the convenience of the user, the revised text is set forth as follows:

**APPENDIX B TO PART 30—AES FILING
CODES**

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PART II—EXPORT INFORMATION CODES

- TP—Temporary exports of domestic merchandise
- IP—Shipments of merchandise imported under a Temporary Import Bond for further manufacturing or processing
- IR—Shipments of merchandise imported under a Temporary Import Bond for repair
- CH—Shipments of goods donated for charity
- FS—Foreign Military Sales
- ZD—North American Free Trade Agreements (NAFTA) duty deferral shipments
- OS—All other exports
- HV—Shipments of personally owned vehicles
- HH—Household and personal effects
- TE—Temporary exports to be returned to the United States

Census Bureau, Commerce

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TL—Merchandise leased for less than a year
IS—Shipments of merchandise imported under a Temporary Import Bond for return in the same condition
CR—Shipments moving under a carnet
GP—U.S. Government shipments
MS—Shipments consigned to the U.S. Armed Forces
GS—Shipments to U.S. Government agencies for their use
UG—Gift parcels under Bureau of Industry and Security License Exception GFT
DD—Other exemptions:
 Currency
 Airline tickets
 Bank notes
 Internal revenue stamps
 State liquor stamps
 Advertising literature
 Shipments of temporary imports by foreign entities for their use
IW—International water shipments
CI—Impelled shipments of goods donated for relief or charity
FI—Impelled Foreign Military Sales Program
OI—All other exports (impelled)
 (For Manifest Use Only by AES Carriers)
AE Shipment information filed through AES
 (See §§30.50 through 30.58 for information on filing exemptions.)

PART III—LICENSE CODES

Department of Commerce, Bureau of Industry and Security (BIS), Licenses

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C38 TSR—Restricted Technology and Software
C39 CTP—Computers
C40 TMP—Temporary Imports, Exports, and Re-exports
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C42 GOV—Government and International Organizations
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C51 AGR—License Exception Agricultural Commodities
C53 APP—Adjusted Peak Performance (Computers)
C54 SS-WRC—Western Red Cedar
C55 SS-Sample—Crude Oil Samples
C56 SS-SPR—Strategic Petroleum Reserves
C57 VEU—Validated End User Authorization
C58 CCD—Consumer Communication Devices
C59 STA—Strategic Trade Authorization

Department of Energy/National Nuclear Security Administration (DOE/NNSA) Codes

E01—DOE/NNSA

Nuclear Regulatory Commission (NRC) Codes

N01 NRC Form 250/250A—NRC Form 250/250A
N02 NRC General License—NRC 'General' Export License

Department of State, Directorate of Defense Trade Controls (DDTC) Codes

SAG—Agreements
SCA—Canadian ITAR Exemption
S00—License Exemption Citation
S05 DSP-5—Permanent export of unclassified defense articles and services
S61 DSP-61—Temporary import of unclassified articles
S73 DSP-73—Temporary export of unclassified articles
S85 DSP-85—Temporary or permanent import or export of classified articles
S94 DSP-94—Foreign Military Sales

Department of Treasury, Office of Foreign Assets Control (OFAC) Codes

T10—OFAC Specific License
T11—OFAC General License
T12—Kimberley Process Certificate Number

Other License Types

OPA—Other Partnership Agency License

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APPENDIX C TO PART 30—SUMMARY OF EXEMPTIONS AND EXCLUSIONS FROM EEI FILING

A. EEI is not required for the following types of shipments:¹

¹Exemption from the requirements for reporting complete commodity information is covered in §30.38; Special exemptions for shipments to the U.S. Armed Services and

Continued